

Manual for Intellectual Property Regulations and Ethical Practices in the Physics Department

This manual complies with the procedures and policies of King Abdulaziz university DR. ROAA SAIT QUALITY COORDINATOR

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Key terms and definitions

- 1. **Department of physics:** is an academic division within the university dedicated to the study and research of physical sciences, encompassing areas such as classical and modern physics, astrophysics, and related disciplines. This department offers educational programs, conducts research, and contributes to the advancement of knowledge in the field of physics. It plays a crucial role in both academic and scientific pursuits within the university community.
- 2. **Research ethics**: it encompasses the principles, standards, and guidelines governing the moral and responsible conduct of research. It involves upholding integrity, honesty, and fairness in the design, execution, and dissemination of research, ensuring the welfare and rights of participants, and addressing potential conflicts of interest, all aimed at maintaining the credibility, reliability, and societal benefit of research endeavors.
- 3. **Intellectual Property (IP):** Intellectual property refers to the legal rights that protect creations of the mind, including patents, copyrights, and trademarks. It ensures creators and innovators receive recognition and financial benefits for their work while safeguarding their exclusive rights.
- 4. **Patents:** Patents provide protection for new inventions and discoveries, granting the inventor exclusive rights to use, make, and sell the invention for a specified period, typically 20 years.
- 5. **Copyrights:** Copyrights protect literary, artistic, and creative works, such as books, music, art, and software, giving creators the exclusive right to use, reproduce, or distribute their work.
- 6. **Incentive for Research:** IP protection serves as an incentive for researchers to explore new ideas and create innovative solutions, knowing that their work can be safeguarded and recognized.
- 7. **Knowledge Dissemination:** IP rights ensure proper documentation and sharing of research findings and innovations, fostering growth within the academic community and society at large.
- 8. **Innovation:** Innovation is the creation and application of new ideas, products, or processes that bring about positive change and advancement in various fields. It fuels progress and drives improvement in society, business, and technology.
- 9. **Funding**: refers to the financial resources or capital provided to support a particular project, organization, venture, or activity. It typically includes investments, grants, loans, or contributions aimed at covering expenses, enabling growth, or achieving specific goals.
- 10. **Ownership**: In the context of intellectual property, ownership refers to the entity or individual that has legal rights over a specific creation, invention, or work. Ownership may be determined by policies, agreements, or regulations, especially in research conducted with institutional resources.



- 11. **Rights**: Intellectual property rights dictate what the owner can do with their creations, inventions, or works. For example, owning a patent grants the exclusive right to use, make, and sell an invention, while copyright allows control over the use and distribution of creative works.
- 12. **Transfer and Licensing:** IP ownership can be transferred, licensed, or shared through agreements, often seen in collaborations between universities and businesses to bring innovations to the market.
- 13. **Protection**: Protecting intellectual property involves actions like registering patents, copyrights, or trademarks to enforce rights and prevent unauthorized use.
- 14. **Honesty**: In research ethics, honesty means representing research findings, methods, and data truthfully and accurately, including reporting both positive and negative results. Fabrication, falsification, or selective reporting is considered a breach of research ethics.
- 15. **Transparency**: Transparency in research involves providing clear and complete information about research methodologies, data sources, and potential conflicts of interest, enhancing the credibility of research.
- 16. **Integrity**: Research integrity goes beyond honesty and encompasses a commitment to ethical conduct, respecting human subjects' rights, adhering to guidelines, and avoiding actions compromising research validity.
- 17. **Regulation**: Regulations in the context of King Abdulaziz University's IP policies refer to specific rules and guidelines governing research practices and intellectual property at the university.
- 18. **Deanship of Scientific Research:** The Deanship of Scientific Research is a department within King Abdulaziz University responsible for promoting and supporting ethical research practices, financial support for research programs, and aligning with national research priorities.
- 19. **Contributors**: Contributors in IP ownership are individuals who assist originators in shaping a concept or work but haven't substantially contributed to the creation. They are distinguished from originators and are considered contributors.
- 20. Creator of IP: the creator of IP is the individual who initiates and generates the intellectual property.
- 21. Faculty-Generated IP (Staff): In the context of university employees, faculty-generated IP refers to intellectual property created by professors, lecturers, and other staff members during their employment.
- 22. Conflict of Interest (COI) in Research: A conflict of interest in research refers to a situation in which a researcher's personal, financial, or professional interests have the potential to compromise the objectivity, integrity, or impartiality of their research activities.



I. Introduction:

Understanding Intellectual Property and Its Significance in Research within the Context of Saudi Vision 2030

In the realm of academia, intellectual property (IP) represents a crucial facet of our scholarly pursuits. It encompasses the protection of inventive and creative outputs, serving as a shield for the intellectual novelty born from our academic accomplishments. These novelties may encompass groundbreaking discoveries, literary and artistic works, and innovative designs—assets of paramount importance to the academic community.

In this regard, King Abdulaziz University has established the Charter of Professional Ethics for Faculty Members and similar professionals. This charter aims to enhance the commitment of every faculty member to the university, aligning their mission and profession to contribute to the development of society. Faculty members are the intellectual force driving achievement and excellence in various fields and the overall performance of universities. This can only be achieved through their commitment to the ethics and morals of their profession, motivated by their conscience and belief.

In a global context, educational institutions worldwide seek to establish ethical charters governing their relationships with faculty members and employees to ensure an ethical reference that promotes the efficiency and transparency of these institutions.



II. The Importance of Intellectual Property in Research:

Within the academic landscape, IP plays an indispensable role. It not only preserves the integrity of our intellectual creations but also fuels innovation and knowledge dissemination. It serves as both an incentive and a safeguard, encouraging researchers, faculty, and students to explore uncharted territories, pushing the boundaries of knowledge.

Recognizing the profound implications of IP in academic research, it is imperative to align our efforts with the broader vision set forth by Saudi Vision 2030. This ambitious blueprint aspires to transform Saudi Arabia into a global hub for innovation, knowledge, and technology. To achieve this aspiration, academic institutions must actively engage in the creation and protection of IP, ensuring that our research endeavors contribute not only to academic enrichment but also to the economic diversification and technological advancement envisioned by the Kingdom.

The Department of Physics is keen to achieve the highest levels of commitment to academic ethics based on its conviction in the following postulates:

- No one can deny the importance of ethics in the work environment, as it affects productivity and the ability to deal with external factors and achieve the organization's goals.
- Work ethics enhance the organization's position and maintain its local, regional, and international reputation.
- It enables the organization to obtain international recognition in appreciation of its commitment to international standards.
- Ethics at work help the department to evaluate the behavior of individuals in certain situations and consequently determine the desired or unwanted aspects.

These principles further underline the significance of ethical conduct not only in the realm of intellectual property but also in all aspects of academic research and collaboration.



III. Purpose of the Manual:

This manual serves as guidance for the Physics Department at King Abdulaziz University towards a future where intellectual property is not only respected but leveraged to achieve academic and national objectives. It provides a comprehensive framework for understanding intellectual property, ethical research practices, and their role in our academic journey. Additionally, it aligns closely with the regulations set forth by King Abdulaziz University, reinforcing our commitment to excellence and adherence to the highest standards of intellectual property management and ethical conduct.

This manual seeks to accomplish the following objectives:

- Strengthening the university professor's pivotal role in the educational process.
- Fostering collaboration among university professors, their colleagues, and the broader environment, including students and staff.
- Advocating for integrity, transparency, accountability, and the application of quality standards and academic accreditation.
- Encouraging active engagement by individuals within the university, both academically and administratively.
- Serving as a compass for the professional conduct of faculty members, a commitment they wholeheartedly pledge to uphold in letter, spirit, orientation, and practice.
- Setting a benchmark for the conduct of faculty members in all their professional relationships, whether with students, colleagues, or society at large.



Chapter 1: Understanding Intellectual Property (IP)

1.1 Definitions

Intellectual property (IP) refers to the legal rights that protect creations of the mind. It's like a set of rules that ensure people get recognition and financial benefits for their creative and innovative work. IP can take various forms, including:

Patents: These protect new inventions or discoveries. Think of it as a way to keep others from making, using, or selling your unique invention for a certain period, usually 20 years.

Copyrights: These safeguard literary, artistic, and creative works, such as books, music, art, and software. Copyrights give creators the exclusive right to use, reproduce, or distribute their work.

Understanding these types of intellectual property is essential because it allows researchers and creators to know how to protect their work and benefit from it while respecting the rights of others.

1.2 Importance of IP in Academic Research, Innovation, and

Commercialization:

Intellectual property is crucial in the academic world for several reasons:

Incentive for Research: IP protection encourages researchers to explore new ideas and develop innovative solutions. Knowing that their work can be protected and recognized motivates them to push the boundaries of knowledge.

Knowledge Dissemination: IP rights ensure that research findings, innovations, and creative works are properly documented and shared. This sharing of knowledge is fundamental to the academic community's growth and the progress of society as a whole.

Innovation and Commercialization: IP can be a bridge between academic research and realworld applications. Innovations born in academia can be commercialized, contributing to economic growth and technological advancement.



Attracting Funding and Collaboration: Companies and investors are more willing to fund research when they know that the intellectual property arising from it can be protected. Collaborations between academia and industry become more appealing, fostering innovation.

3. Overview of IP Ownership and Rights:

Understanding IP ownership and rights is vital:

Ownership: It's crucial to know who owns the intellectual property. In many cases, universities may have policies that specify how ownership is determined, especially for research conducted with institutional resources.

Rights: Intellectual property rights define what you can do with your creations. For instance, owning a patent means you have the exclusive right to use, make, and sell your invention. Copyright allows you to control how your creative work is used and distributed.

Transfer and Licensing: IP ownership can be transferred, licensed, or shared through agreements. This is common when universities collaborate with businesses to bring innovations to market.

Protection: Knowing how to protect your IP is essential. Registering patents, copyrights, or trademarks is often necessary to enforce your rights and prevent others from using your work without permission.



Chapter 2: Intellectual Property Policies and Regulations at King Abdulaziz University

2.1 Overview of Ethical Principles in Research:

Ethical principles are the foundation of responsible and credible research. In the Physics Department, upholding these principles is not only a matter of integrity but also a prerequisite for the advancement of knowledge. Here are some essential ethical principles in research:

- 1. **Honesty:** Honesty means representing research findings, methods, and data truthfully and accurately. It is vital to report both positive and negative results honestly. Fabrication, falsification, or selective reporting of data is considered a severe breach of research ethics.
- Transparency: Transparency in research involves providing clear and complete information about research methodologies, data sources, and potential conflicts of interest. It allows others to understand and verify the research process and results. Transparency enhances the credibility of research.
- 3. **Integrity:** Research integrity goes beyond mere honesty; it encompasses a commitment to ethical conduct throughout the research process. Researchers must act with integrity by respecting the rights of human subjects, adhering to ethical guidelines, and avoiding any actions that compromise the validity of research.

2.2 King Abdulaziz University's IP policies and regulations:

King Abdulaziz University (KAU) aligns its Intellectual Property (IP) policies with its Vision, Mission, and Values. KAU's Vision aims to excel globally in scientific research, benefiting the Kingdom economically. The Mission fosters faculty competitiveness and leadership across scientific disciplines. KAU's dedication to a knowledge-based economy underscores the importance of IP policies for responsible technology use. Core Values include integrity, loyalty, respect, community service, excellence, teamwork, and transparency. KAU emphasizes transformative education, focusing on research and innovation, highlighting IP policies' role in holistic objectives.



The Deanship of Scientific Research at KAU promotes ethical research practices, offering financial and administrative support for research programs. KAU seeks scientific leadership and contributes to the Kingdom's growth through ethical research. It nurtures researchers, organizes workshops, and supports research quality. The Deanship manages research, promotes knowledge leadership, and aligns with national research priorities. Strategies and plans advance ethical scientific research, with a commitment to continual improvement.

The following regulations, which will be outlined below, are applied to the Physics Department at KAU. They are designed to govern and uphold ethical research practices within the Physics Department, aligning with KAU's broader Vision, Mission, and Values, as well as the principles set forth by the Deanship of Scientific Research.

Regulation One: Research conducted by King Abdulaziz University employees aims to:

- 1. Uphold religious and moral values.
- 2. Ensure the application of quality standards in research.
- 3. Gain international recognition for the university's research.
- 4. Avoid harm to humans, animals, the environment, and security.
- 5. Enforce regular monitoring for compliance.

Regulation Two: Basic principles researchers are prohibited from:

- 1. Conducting research conflicting with Islamic values and ethics.
- 2. Undertaking research negatively which impacts public health and the environment.
- 3. Using scientific achievements against humanity or human dignity.
- 4. Defending scientific issues without factual evidence.

Regulation Three: Professional principles researchers must adhere to:

- 1. Approved research plans.
- 2. Responsible resource use and accurate topic selection.



- 3. Transparent presentation of results.
- 4. Compliance with laboratory safety and ethical regulations.
- 5. Adherence to ethics in research on living creatures.
- 6. Commitment to relevant regulations.

Regulation Four: Researcher conducting research should:

- 1. Prioritize performance quality over rewards.
- 2. Engage in constructive scientific discussions.
- 3. Avoid using research for personal gain.
- 4. Only engage in research for which they are qualified.
- 5. Disclose their specialty when addressing topics.
- 6. Share information and results.
- 7. Preserve the entity's rights in research results.
- 8. Present results accurately and avoid exaggeration.

Regulation Five: Teamwork, and researchers must:

- 1. Encourage teamwork and collaboration.
- 2. Uphold fairness in workload distribution.
- 3. Foster knowledge exchange and skill development.
- 4. Select competent team members based on objective criteria.

Regulation Six: In the realm of ethical handling of living organisms, researchers must adhere to the following principles:

1. Ethical Approval: Research involving humans or animals should have received appropriate ethical approval. Authors must disclose this in their publication.



- 2. Informed Consent: Authors must ensure that individuals or participants have given informed consent, and that any potentially identifying information is adequately anonymized.
- 3. Abide by the executive regulations governing research on creatures established by the National Bioethics Committee. Pay special attention to adequately equipping laboratories for safe handling, transportation, and preservation of living organisms, preventing contamination and infection.
- 4. Ensure that all personnel, including researchers, technicians, and workers involved in handling living creatures, possess the necessary scientific qualifications, skills, and ethical awareness.
- Conduct experiments involving infectious microorganisms only in designated laboratories (Class 3) to protect workers and prevent contamination outside these facilities.
- 6. Obtain official approvals for importing, sending, transporting, or storing live elements for research purposes.
- 7. Minimize the use of experimental animals and avoid causing harm during experiments whenever possible.
- 8. Safely dispose of waste and materials resulting from experiments on living organisms.
- 9. Refrain from conducting genetic modification experiments on infectious microorganisms.
- 10. Promptly report any experimental errors that may harm public and environmental health.

Regulation Seven: Preparation and publication ethical guidelines:

- 1. Cite and reference the sources used in research and publications appropriately.
- 2. Comply with local and international publishing and copyright regulations, including obtaining prior written consent from authors or publishers for translations or reproductions.
- 3. Respect the rights of research participants and assisting teams when using research results for scientific papers or books.



- 4. Do not submit the same scientific paper to more than five entities simultaneously.
- 5. Refrain from republishing scientific papers in another journal or conference without substantial additions or modifications unless permitted by the original publishers. Always acknowledge the original source.
- 6. Acknowledge research or publications funded wholly or partially by the university using the approved formula.

Regulation Eight: Rights of Others, researchers must adhere to the following ethical standards:

- 1. Do not disregard the welfare of research participants when conducting research, writing scientific papers, or participating in conferences or seminars.
- 2. Recognize the contributions of researchers in collaborative work, considering their actual involvement. In cases of equal participation, list their contributions alphabetically unless an alternative agreement is reached.
- 3. Acknowledge the efforts of individuals whose work benefited the research, even if their contributions were not formally published or recognized.

Regulation Nine: Fabrication of Scientific Results

Researchers are strictly prohibited from falsifying or fabricating scientific results, regardless of their perceived value. This includes presenting results as originating from scientific research or experimental data when they do not.

Regulation Ten: Misrepresentation of Scientific Results

Researchers must present scientific results accurately, refraining from excluding deviant or abnormal outcomes resulting from scientific experiments within the bounds of statistical norms. The presentation should demonstrate full consistency within the context of the research.



Regulation Eleven: Exaggeration of Results Implications

Researchers should avoid scientific misinformation by refraining from highlighting content or assigning undue significance to results that may be incidental or of minor importance. Such exaggeration can weaken the central idea of the research.

Regulation Twelve: Plagiarism

Researchers are prohibited from attributing to themselves the work of others or falsely claiming the source of any idea, research, or authorship.

Regulation Thirteen: Accuracy in Attribution and References

Researchers should avoid overstating scientific references without proper citation and refrain from listing incorrect references to create a false impression of their knowledge in the field. References should be accurately attributed.

Regulation Fourteen: Truthfulness in Scientific Biography

Researchers must ensure accuracy and credibility when writing their scientific biographies. They should refrain from exaggerating their experiences and achievements with the intention of personal gain or misleading others.

Regulation fifteen: Authorship Guidelines:

- 1. Substantial Contribution: Authors should have made substantial intellectual contributions to the work, including the conception, design, execution, and interpretation of the research.
- 2. Authorship Order: The order of authors should reflect their relative contributions. The first author typically made the most significant contribution, while the last author is often the senior researcher or project leader.
- 3. All Contributors Included: Individuals who have made meaningful contributions but do not meet the criteria for authorship should be acknowledged in the paper or publication.
- 4. Permission and Consent: Authors should obtain consent from all co-authors and contributors before submitting a manuscript for publication.



Regulation sixteen: Data Integrity and Reproducibility:

- Data Accuracy: Authors must provide accurate and verifiable data, methods, and results. Raw data should be retained for a reasonable period and made available upon request.
- 2. Reproducibility: Authors should make their research as reproducible as possible by providing detailed methodologies, analysis procedures, and necessary resources.

Regulation seventeen: Acknowledgment and Funding Disclosure:

- 1. Acknowledgment: Any financial support, technical assistance, or contribution should be properly acknowledged.
- 2. Funding Disclosure: Authors should declare any funding sources or conflicts of interest that may have influenced the research or publication.

Regulation eighteen: Retraction and Corrections:

Responsibility for Errors: Authors should take responsibility for errors or inaccuracies in their work and, if necessary, issue corrections or retractions.

Regulation nineteen: Peer Review:

Honesty and Objectivity: Authors should engage in the peer review process honestly and objectively, respecting the confidentiality and feedback of reviewers.

Regulation thirty: Open Access and Data Sharing:

- 1. Open Access: Authors should consider making their research findings openly accessible, possibly through open-access publication, repositories, or preprint servers.
- 2. Data Sharing: Data used in research should be made available to others, preferably through recognized data repositories.

These regulations are essential to maintain scientific integrity and honesty in research practices.



Chapter 3: IP Ownership and rights

3.1 Clarification of IP Ownership within the Physics Department:

In the dynamic world of academic research, scholars may either be the primary creators of Intellectual Property (IP) or contribute to its development. Determining IP ownership hinges on identifying the actual creators and distinguishing between originators and contributors. Originators are those who have initiated a creative breakthrough, with distinct designations such as "inventors" for patents and "authors" for literary copyright works. Collaborators may assist originators in shaping the original concept or work, yet if they haven't contributed substantially to the IP creation, they are considered contributors rather than originators.

Hence, it is imperative to establish well-defined guidelines for intellectual property (IP) ownership within the Physics Department. Intellectual property can stem from diverse sources, including faculty research, student projects, and collaborative endeavors. Clearly defining ownership ensures equity and transparency.

3.1.1 Personnel within the University:

As a general rule the creator of the IP is the owner unless it was (a) generated pursuant to a course of employment; or (b) there is agreement to the contrary. Here's how this can be done:

Faculty-Generated IP (Staff): Typically, if a university employee, which includes professors, readers, lecturers, technicians, research staff, support staff, and administrators, generates IP during their employment, the university owns it, unless there's a different agreement. Especially in cases where faculty members conduct research as part of their professional duties and use university resources, ownership rights usually reside with the university. Publicly shared research outputs must acknowledge the university's contributions.

Temporary Staff: Ownership of IP created by temporary staff depends on their employment contract terms. If they generate IP as part of their temporary role, contract terms determine whether the university or the staff member owns it.



Student-Generated IP: Students can create valuable IP during their academic journey, and clarity on ownership rights is essential. By default, students own the IP they create while studying, except in cases of joint creation or when the student is funded.

Students in Taught Courses: Typically, students own the IP they create, unless an agreement states otherwise, like in cases of sponsorship or competitions. Some taught courses may involve project work, and in these cases, IP is often jointly owned when multiple students, employees, or academic staff collaborate. Research groups funded externally have their IP management rules.

Students in Research-Based programs: Students pursuing research-based program provided by the faculty of department or university usually own IP they create by themselves. However, their work often involves guidance from university employees and collaborative efforts. Determining inventorship in such cases depends on who conceived the invention, and not everyone involved is necessarily an inventor. Experiments may not meet the threshold requirement.

IP Arising from Directed Student Work: When students undertake specific work at the university's direction or as part of an organized project, the associated IPR is owned by the university. Students may be required, as per legal procedures, to formally assign any IPR to the university to ensure clarity.

3.1.2 External Personnel:

Visiting Lecturers and Other Visitors: Typically, visitors to the University retain ownership of the IP they create while utilizing University resources, unless an agreement states otherwise.

Consultants and Service Providers: Consultants, not being employees, usually own the IP they contribute to, even if they were paid by the University, unless a specific agreement says otherwise. For instance, if a service provider develops a website for the University, they retain IP rights unless a written agreement stipulates otherwise.

Collaborators: Collaborative projects, especially those involving industrial sponsors, different universities, or institutions, are guided by the terms of funding arrangements, such as grants,



contracts, or collaboration agreements, which typically dictate IP ownership. In the realm of academic research, collaboration is fundamental, and for successful collaboration:

Establish Clear Agreements: At the project's outset, written agreements should be established to define how IP will be generated, owned, and managed throughout and after the project. Collaborators should have a clear understanding of their respective rights and responsibilities.

Joint Ownership: In certain cases, IP may be jointly owned by multiple parties, including faculty members from various departments or institutions. Defining terms for joint ownership is crucial, encompassing decisions about IP use, protection, and potential commercialization.

Publication and Presentation: The sharing, publication, or presentation of collaborative research findings must be addressed. Balancing the dissemination of knowledge with the protection of any involved IP is essential.





Chapter 4: Handling Conflicts of Interest in Research:

4.1 Conflict of interest and types

Conflict of interest in research refers to a situation in which a researcher's personal, financial, or professional interests could potentially compromise the objectivity, integrity, or impartiality of their research activities, thereby affecting the credibility and reliability of the research outcomes. This conflict arises when a researcher's personal or competing interests may unduly influence the design, conduct, reporting, or interpretation of research results.

Common examples of conflicts of interest in research include:

- **Financial Interests:** These can include financial ties to a company or organization that could benefit from the research outcomes, such as stock ownership, paid consulting, or patent rights.
- **Personal Relationships:** Conflicts can arise from personal relationships with individuals or entities that have an interest in research, potentially leading to favoritism.
- Academic or Professional Advancement: Researchers may have an interest in promoting their own work or ideas to advance their academic or professional career, which might lead to bias in the research.

4.2 Guidelines for Addressing and Managing Research Conflicts of Interest within a Department

When a department encounters a research conflict of interest, it is important to address the situation promptly and transparently to maintain the integrity of the research and the department itself.

4.2.1 General strategies that a department should typically take:

- **Disclosure**: The first and most critical step is for researchers to disclose any potential conflicts of interest. Departments often require faculty and researchers to report any financial interests, relationships, or other factors that could affect the integrity of their research.
- Assessment: The department should conduct a thorough assessment of the disclosed conflicts. This may involve evaluating the nature and extent of the conflict, as well as its potential impact on the research and its findings.



• Management Plan: Based on the assessment, the department may develop a conflict of interest management plan. This plan should outline how the conflict will be managed to ensure that the research remains unbiased and that the integrity of the department is preserved. Common strategies include:

4.2.2 Conflict Resolution Process and Escalation Protocols

Internal Resolution: Whenever possible, initial attempts should be made to resolve disputes internally. This may involve discussions between parties involved in the conflict.

- Ethics Review: an ethics review board or committee within the department may be involved in the decision-making process to provide an unbiased evaluation of the situation.
- Accreditation Committee: In the first instance, researchers can approach the Accreditation Committee for assistance in resolving the conflict.
- Head of Department: If the Accreditation Committee's intervention does not resolve the issue, researchers can escalate the matter to the Head of their department.
- **Dean of the Faculty:** If the issue remains unresolved, it can be further escalated to the Dean of the relevant faculty.

External Resolution: When internal resolution efforts fail, external resolution methods may be pursued.

• **Dean of Scientific Research:** Finally, if all previous steps fail to resolve the conflict of interest, researchers can bring the matter to the Dean of Scientific Research for further action and intervention.

4.2.3 To avoid research conflict:

- **Recusal**: Researchers may be asked to recuse themselves from certain aspects of the research or decision-making processes where the conflict could create bias.
- **Transparency**: The department may require increased transparency, such as disclosing the conflict in publications and presentations.
- **Monitoring**: Ongoing monitoring of the research to ensure that the conflict of interest does not unduly influence the results.



- **Divestment**: If appropriate, researchers may be required to divest themselves of financial interests that could lead to conflicts.
- **Communication**: The department should communicate its actions and decisions to relevant stakeholders, including the public, funders, and collaborators. Transparency is essential to maintain trust in the department and its research.
- Education and Training: Departments should offer training and education to researchers and staff on conflict-of-interest policies and best practices to prevent conflicts from arising.
- **Compliance**: Ensure that all researchers are aware of and comply with conflict-of-interest policies and enforce these policies consistently.
- **Documentation**: Keep detailed records of all actions taken to address conflict of interest, including the assessments, management plans, and communications.
- **Continuous Monitoring**: Periodically review and reassess conflicts of interest, especially as research projects evolve or as new financial interests or relationships emerge.



5. Conclusion

In a rapidly evolving academic landscape, where the pursuit of knowledge and innovation is pivotal, this comprehensive manual stands as a beacon of ethical conduct and intellectual property management. It underscores the profound significance of intellectual property in the academic world, not only as a means of safeguarding innovation but as a catalyst for knowledge dissemination and technological progress.

With a strong commitment to ethical research principles, King Abdulaziz University and its Physics Department pave the way for responsible research and innovation. By meticulously outlining ownership rights, conflict resolution processes, and ethical standards, this manual provides a robust foundation for academic excellence.

Moreover, this manual mirrors the institution's alignment with Saudi Vision 2030, a visionary blueprint that aspires to transform the Kingdom into a global hub for innovation, knowledge, and technology. Intellectual property and ethical research practices are indispensable tools in achieving these aspirations, and this manual serves as a roadmap for researchers, students, and faculty to navigate this transformative journey.

Ultimately, this manual encapsulates the dedication of King Abdulaziz University to upholding a culture of excellence, integrity, and innovation. It is a testament to the university's commitment to ethical research, responsible intellectual property management, and the advancement of knowledge, not only within its walls but also on a global stage. As we look towards the future, this manual serves as a cornerstone for fostering a dynamic academic community that thrives on the principles of integrity, collaboration, and innovation.



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